

Pursuant to Govt. Code §6103
Exempt From Fees

ORIGINAL

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

KAMALA D. HARRIS
Attorney General of California
CONSTANCE L. LELouis
Supervising Deputy Attorney General
MARK R. BECKINGTON
Deputy Attorney General
State Bar No. 126009
300 South Spring Street, Suite 1702
Los Angeles, CA 90013
Telephone: (213) 897-1096
Fax: (213) 897-1071
E-mail: Mark.Beckington@doj.ca.gov
*Attorneys for Defendant Debra Bowen, as California
Secretary of State*

FILED BY FAX
ALAMEDA COUNTY
March 11, 2013
CLERK OF
THE SUPERIOR COURT
By Rosanne Case, Deputy
CASE NUMBER:
RG11605301

SUPERIOR COURT OF THE STATE OF CALIFORNIA
COUNTY OF ALAMEDA

MICHAEL RUBIN, et al.,

Plaintiffs,

v.

DEBRA BOWEN, in her official capacity as
Secretary of State of California,

Defendant,

INDEPENDENT VOTER PROJECT, et al.,

Intervener-Defendants.

Case No. RG11605301 **By FAX**
**NOTICE OF HEARING ON DEMURRER
AND DEMURRER TO SECOND
AMENDED COMPLAINT;
MEMORANDUM OF POINTS AND
AUTHORITIES**
Date: June 4, 2013
Res. No: R-1377383
Time: 9:00 a.m.
Dept: 16
Judge: Hon. Lawrence John Appel
Trial Date: February 18, 2014
Action Filed: November 21, 2011

TABLE OF CONTENTS

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

	<u>Page</u>
Notice of Hearing.....	1
Demurrer to Complaint.....	3
Memorandum of Points and Authorities.....	4
Introduction.....	4
Legal Background.....	5
Statement of the Case.....	6
Standard of Review.....	7
Argument.....	9
I. Plaintiffs' First Cause of Action Does Not Allege a Valid Claim for Denial of Access to the General Election Ballot in Violation of the State or Federal Constitution.....	9
A. Federal and State Authority Has Recognized the Top Two Primary as a Constitutional Method of Choosing General Election Candidates.....	9
B. Plaintiffs Fail to Allege Any Facts that Would Support a Finding that Proposition 14 is Unconstitutional as Applied.....	11
C. The State's Legitimate Regulatory Interests Support Upholding the Constitutionality of Proposition 14.....	13
II. Plaintiffs' Second Cause of Action Does Not Allege Either a Facial or an As Applied Claim for Violation of the Equal Protection Clause.....	14
III. The Demurrers Should Be Sustained Without Leave to Amend.....	17
Conclusion.....	18

TABLE OF AUTHORITIES

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Page

CASES

Amador Valley Joint Union High Sch. Dist. v. State Bd. of Equalization
(1990) 22 Cal.3d 208 16

Anderson v. Celebreeze
(1983) 460 U.S. 780 8, 9

Burdick v. Takushi
(1992) 504 U.S. 428 7, 8

California Democratic Party v. Jones
(2000) 530 U.S. 567 9, 10, 11, 14

Canaan v. Abdelnour
(1985) 40 Cal.3d 703 8

Edelstein v. City and County of San Francisco
(2002) 29 Cal.4th 164 8

Field v. Bowen
(2011) 199 Cal.App.4th 346 5, 11

Frost v. Geernaert
(1988) 200 Cal.App.3d 1104 17

Hernandez v. New York
(1991) 500 U.S. 352 16

Kim v. Workers' Comp. Appeals Bd.
(1999) 73 Cal.App.4th 1357 16

Norman v. Reed
(1992) 502 U.S. 279 8

People v. Castro
(1985) 38 Cal.3d 301 17

People v. Superior Court (Williams)
(1992) 8 Cal.App.4th 688 16

Perry v. Brown
(9th Cir. 2012) 671 F.3d 1052, cert granted, 133 S.Ct. 786 (2012) 15, 16

TABLE OF AUTHORITIES

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Page

Storer v. Brown
(1974) 415 U.S. 724 7

Taxpayers to Limit Campaign Spending v. Fair Political Practices Comm'n
(1990) 51 Cal.4th 744 16

Timmons v. Twin Cities Area New Party
(1997) 520 U.S. 351 7

Washington State Grange v. Washington State Republican Party
(2008) 552 U.S. 442 10

Washington State Republican Party v. Washington State Grange
(2012) 676 F.3d 784, cert. denied, 133 S.Ct. 110 (2012)..... 4, 9, 10, 11

Williams v. Rhodes
(1968) 393 U.S. 23 10

STATUTES

Election Code
§ 8002.5 (d) 12
§ 8141.5 12
§ 13105 12

CONSTITUTIONAL PROVISIONS

Cal. Const., Article I, § 7(a) 14
Cal. Const., Article II, § 5 6, 7
Cal. Const., Article II, § 5 (a) 5
Cal. Const., Article II, § 5 (b) 12, 13
First Amendment 10, 11
Fourteenth Amendment 7, 8, 14
U.S. Const., Amendment , XVI, § 1 14
United States Constitution 7, 8, 14

OTHER AUTHORITIES

<http://www.sos.ca.gov/elections/sov/2010-primary> 5

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

NOTICE OF HEARING

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that on June 4, 2013, at 9:00 a.m., or as soon thereafter as the matter may be heard, in Department 16 of the above-entitled court, located at 1221 Oak Street, Oakland, California, Defendant Debra Bowen, in her official capacity as California Secretary of State, shall demur, and hereby does demur, to plaintiffs' second amended complaint, and each and every cause of action therein, as set forth herein.

The demurrer shall be based on this notice of demurrer and the demurrer to complaint, the accompanying memorandum of points and authorities, the pleadings and papers on file in this action, and upon such further argument as may be offered at the time of the hearing.

Dated: March 11, 2013

Respectfully Submitted,

KAMALA D. HARRIS
Attorney General of California
CONSTANCE L. LELouis
Supervising Deputy Attorney General



MARK R. BECKINGTON
Deputy Attorney General
*Attorneys for Debra Bowen, as California
Secretary of State*

DEMURRER TO COMPLAINT

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Defendant Debra Bowen, as California Secretary of State, demurs to plaintiffs' second amended complaint, and each and every cause of action therein, on each of the following grounds:

(1) To the first cause of action, designated in the second amended complaint as the First Claim for Relief: Ballot Access, on the ground that the cause of action does not state facts sufficient to constitute a cause of action. (Code Civ. Proc., § 430.10, subd. (e).)

(2) To the second cause of action, designated in the second amended complaint as the Second Claim for Relief: Equal Protection Clause, on the ground that the cause of action does not state facts sufficient to constitute a cause of action. (Code Civ. Proc., § 430.10, subd. (e).)

WHEREFORE, defendant prays as follows:

- 1. That the demurrers, and each of them, be sustained without leave to amend;
- 2. That plaintiffs take nothing by their complaint;
- 3. That judgment be entered in favor of the defendant;
- 4. That the defendant be awarded her costs of suit.

Dated: March 11, 2013

Respectfully Submitted,
KAMALA D. HARRIS
Attorney General of California
CONSTANCE L. LELouis
Supervising Deputy Attorney General

MARK R. BECKINGTON
Deputy Attorney General
Attorneys for Debra Bowen, as California Secretary of State

MEMORANDUM OF POINTS AND AUTHORITIES

INTRODUCTION

1
2
3 When California voters approved Proposition 14 in June 2010, they fundamentally changed
4 how state and congressional candidates are chosen for the general election ballot. Formerly,
5 under the partisan primary system, voters affiliated with qualified political parties selected the
6 parties' nominees, who would then run in the general election. Now, under Proposition 14's
7 voter nomination system, all voters, regardless of party affiliation, select the candidates who will
8 compete in the general election. The top two primary vote getters, not the nominees of political
9 parties, appear on the general election ballot.

10 The United States Supreme Court, other federal courts, and a California appellate court
11 have all expressly or impliedly recognized that this system is a constitutional means for choosing
12 general election candidates and channeling final voter choice between the top two primary vote
13 getters. As such, all of plaintiffs' challenges to the constitutionality of Proposition 14 lack merit
14 as a matter of law.

15 Plaintiffs' first cause of action for denial of ballot access turns entirely on the fact that some
16 minor party candidates in the 2012 election received a significant percentage of the primary vote
17 but were denied a place on the general election ballot because they did not finish among the top
18 two vote getters. But the fact that some candidates will not reach the general election despite
19 receiving more than a modicum of votes is merely "an inherent feature of any top two primary
20 system," not a basis to declare that system unconstitutional. (*Washington State Republican Party*
21 *v. Washington State Grange* (2012) 676 F.3d 784, 795, cert. denied, 133 S.Ct. 110 (2012).) This
22 Court has previously determined that plaintiffs cannot state a *facial* ballot access claim, and their
23 alleged facts cannot support an *as applied* claim. The first cause of action therefore fails to allege
24 a valid claim as a matter of law.

25 Plaintiffs' second cause of action for alleged violation of the Equal Protection Clause is
26 flawed as either a facial or an *as applied* claim. Proposition 14 is facially neutral. It neither
27 favors major political parties nor disables minor political parties on its face. And although
28 plaintiffs allege that the "drafters" of Proposition 14 intended the measure to exclude minor party

1 candidates from participating in general elections, they do not allege that the voters themselves
2 intended to promote invidious discrimination. The only realistic guides to the voters' intent, the
3 ballot measure and the voter pamphlet, do not support an inference of discriminatory intent. As
4 such, the second cause of action also fails as a matter of law.

5 After three attempts, plaintiffs have had ample opportunity to allege claims challenging the
6 constitutionality of Proposition 14. It is now clear that they have no legal theory or factual basis
7 to overturn this voter-approved ballot initiative. The Secretary of State therefore requests that the
8 demurrers to the second amended complaint be sustained without leave to amend.

9 LEGAL BACKGROUND

10 In February 2009, the Legislature placed Senate Constitutional Amendment 4, officially
11 known as the "Top Two Candidates Open Primary Act," on the June 2010 election ballot. (Sen.
12 Const. Amend. No. 4 [SCA 4], Stats. 2009, 4th Ex. Sess., res. ch. 2.) Designated as Proposition
13 14 by the Secretary of State, the measure was approved by the voters by a margin of 53.8 to 46.2
14 percent. (See <http://www.sos.ca.gov/elections/sov/2010-primary>.)

15 Proposition 14 replaced the former partisan primary process for state and congressional
16 offices with "[a] voter-nomination primary election . . . to select the candidates for congressional
17 and state elective offices in California." (Cal. Const., art. II, § 5, subd (a); see *Field v. Bowen*
18 (2011) 199 Cal.App.4th 346, 351 ["Proposition 14 replaced party (partisan) primaries with one
19 open primary" for state and congressional offices].) Under this system, "[a]ll voters may vote at a
20 voter-nominated primary election for any candidate for congressional and state elective office
21 without regard to the political party preference disclosed by the candidate or the voter, provided
22 that the voter is otherwise qualified to vote for candidates for the office in question." (Cal. Const.,
23 art. II, § 5, subd (a).)

24 This process leads to a general election between the two candidates receiving the most
25 votes in the primary election: "The candidates who are the top two vote-getters at a voter-
26 nominated primary election for a congressional or state elective office shall, regardless of party
27 preference, compete in the ensuing general election." (Cal. Const., art. II, § 5, subd (a).) A
28 political party or party central committee may endorse, support or oppose a candidate, but it

1 "shall not nominate a candidate for any congressional or state elective office at the voter
2 nominated primary." (Cal. Const., art. II, § 5, new subd (b).)

3 In contrast to prior law, Proposition 14 provides that "[a] political party or party central
4 committee shall not have the right to have its preferred candidate participate in the general
5 election for a voter-nominated office other than a candidate who is one of the two highest vote-
6 getters at the primary election . . ." (Cal. Const., art. II, § 5, new subd (b).) The measure,
7 however, leaves in place partisan elections for presidential candidates, political party committees
8 and party central committees and preserves the right of political parties to participate in the
9 general election for the office of president. (Cal. Const., art. II, § 5, new and amended subds. (c),
10 (d).) Proposition 14 became operative January 1, 2011. (SCA 4, Fifth Clause.)

11 STATEMENT OF THE CASE

12 Plaintiffs are minor political parties and organizations¹ and also candidates and voters who
13 are affiliated with those parties or support their candidates.² On November 21, 2011, plaintiffs
14 filed their original complaint for declaratory, injunctive and other relief, challenging the
15 constitutionality of Proposition 14 based upon its alleged effect on minor parties and their
16 supporters.

17 After a series of demurrers to the original and amended complaints, the Court dismissed
18 with prejudice plaintiffs' causes of action for violation of freedom of speech and association and
19 violation of the Elections Clause. (Order on Demurrers to First Amended Complaint, filed
20 January 25, 2013.) Additionally, the Court sustained with leave to amend the demurrers to
21 plaintiffs' causes of action for alleged denial of ballot access and violation of equal protection.
22 (*Ibid.*) On the ballot access cause of action, the Court limited amendment to an "as-applied"

23
24 ¹ The political party plaintiffs are the Peace and Freedom Party of California and the
25 Libertarian Party of California, both qualified political parties, and the Green Party of Alameda
26 County, which is described as a geographic division of the Green Party of California. (SAC, p. 5,
27 ¶¶ 14-16.)

28 ² The individual plaintiffs include four persons who ran as candidates preferring minor
parties in 2012: Steve Collett, Marsha Feinland, Charles L. Hooper, and C.T. Weber. (SAC, p. 4-
5, ¶¶ 8-10, 12.) The other individual plaintiffs are Michael Rubin, Katherine Tanaka, and Cat
Woods. (*Id.*, pp. 4-5, ¶¶ 7, 11, 13.)

1 theory, holding that plaintiffs' could not allege a claim for facial unconstitutionality. (*Id.*,
2 pp. 8:15-9:2.) On the equal protection cause of action, the Court granted leave to file either a
3 facial or an as applied challenge. (*Id.*, pp. 13:23-14:2.)

4 In response to this ruling, plaintiffs have filed a second amended complaint alleging: (1)
5 denial of ballot access, and (2) violation of equal protection. (SAC, pp. 11-12, ¶¶ 39-43.) Each
6 cause of action is based on alleged violations of provisions in the California Constitution and
7 United States Constitution. (*Ibid.*)

8 In the first cause of action, plaintiffs allege that Proposition 14 "has unconstitutionally
9 burdened the rights of minor party voters, minor party candidates, and the minor parties
10 themselves from effective participation in California's general elections, even when those parties
11 and candidates demonstrated substantial support in the primary election." (SAC, p. 11, ¶ 40.)
12 Therefore, they ask that Proposition 14 be declared unconstitutional under the First and
13 Fourteenth Amendments of the United States Constitution and article I, sections 2 and 3 of the
14 California Constitution. (*Id.*, p. 12, ¶ 40.)

15 In the second cause of action plaintiffs allege that Proposition 14 violates the Equal
16 Protection Clause of the Fourteenth Amendment and the equal protection guarantees of the
17 California Constitution. (SAC, p. 12, ¶ 42.) They allege that Proposition 14 withdrew an
18 established right to participate in statewide general elections and was motivated by an invidious
19 purpose to discriminate against minor parties, their candidates and supporters. (*Id.*, p. 12, ¶ 43.)

20 STANDARD OF REVIEW

21 Courts have long recognized that states may regulate the elections process: "Common
22 sense, as well as constitutional law, compels the conclusion that government must play an active
23 role in structuring elections; 'as a practical matter, there must be a substantial regulation of
24 elections if they are to be fair and honest and if some sort of order, rather than chaos, is to
25 accompany the democratic processes.'" (*Burdick v. Takushi* (1992) 504 U.S. 428, 433, quoting
26 *Storer v. Brown* (1974) 415 U.S. 724, 730; accord, *Timmons v. Twin Cities Area New Party*
27 (1997) 520 U.S. 351, 358 ["States may, and inevitably must, enact reasonable regulations of
28 parties, elections, and ballots to reduce election- and campaign-related disorder"].)

1 As such, review of voting regulations under the United States Constitution does not
2 automatically require strict scrutiny, but instead follows a flexible balancing standard:

3
4 A court considering a challenge to a state election law must weigh 'the character
5 and magnitude of the asserted injury to the rights protected by the First and
6 Fourteenth Amendments that the plaintiff seeks to vindicate' against 'the precise
7 interests put forward by the State as justifications for the burden imposed by its
8 rule,' taking into consideration 'the extent to which those interests make it
9 necessary to burden the plaintiff's rights.'

10 (*Burdick v. Takushi*, *supra*, 504 U.S. at p. 434, quoting *Anderson v. Celebreeze* (1983) 460 U.S.
11 780, 788.)

12 Under this standard, "when those rights are subjected to 'severe' restrictions, the regulation
13 must be 'narrowly drawn to advance a state interest of compelling importance.'" (*Burdick v.*
14 *Takushi*, *supra*, 504 U.S. at p. 434, quoting *Norman v. Reed* (1992) 502 U.S. 279, 289.) "But
15 when a state election law provision imposes only 'reasonable, nondiscriminatory restrictions'
16 upon the First and Fourteenth Amendment rights of voters, 'the State's important regulatory
17 interests are generally sufficient to justify' the restrictions." (*Ibid.*, quoting *Anderson v.*
18 *Celebreeze*, *supra*, 460 U.S. at p. 788.)

19 The same balancing test advanced by the United States Supreme Court in elections cases
20 has been followed by the California Supreme Court in cases arising under the California
21 Constitution: "[I]n analyzing constitutional challenges to election laws, [the California Supreme
22 Court] has followed closely the analysis of the United States Supreme Court." (*Edelstein v. City*
23 *and County of San Francisco* (2002) 29 Cal.4th 164, 179, quoting *Canaan v. Abdelnour* (1985)
24 40 Cal.3d 703, 710.) Thus, like the federal courts, the California courts assess an election
25 regulation by determining whether it imposes a "severe restriction" on voting rights or only a
26 "limited burden" on those rights, and then weighing the interests advanced by the regulation.
27 (See *Edelstein v. City and County of San Francisco*, *supra*, 29 Cal. 4th at pp. 182-183 [applying
28 balancing test to voting regulation challenged under California's free speech clause].)

1 ARGUMENT

2 I. PLAINTIFFS' FIRST CAUSE OF ACTION DOES NOT ALLEGE A VALID CLAIM FOR
3 DENIAL OF ACCESS TO THE GENERAL ELECTION BALLOT IN VIOLATION OF THE
4 STATE OR FEDERAL CONSTITUTION.5 A. Federal and State Authority Has Recognized the Top Two Primary as a
6 Constitutional Method of Choosing General Election Candidates.

7 Plaintiffs stake their ballot access claim on a flawed premise: that a candidate who has not
8 finished among the top vote getters in a top two primary has been unconstitutionally denied the
9 right to participate in the general election if he or she has received more than a modicum of the
10 votes. The possibility that a candidate may receive a large percentage of the primary vote—
11 possibly as much as a third of the vote—and not reach the general election ballot is merely one
12 consequence of a top two primary system. As state and federal courts have recognized, it is not a
13 basis on which to declare a top two system inherently unconstitutional.

14 For example, the Ninth Circuit has rejected the notion that a top two primary is flawed
15 solely because that system “makes it more difficult for minor-party candidates to qualify for the
16 general election ballot than regulations permitting a minor-party candidate to qualify for a general
17 election ballot by filing a required number of petition signatures.” (*Washington State Republican*
18 *Party v. Washington State Grange, supra*, 676 F.3d at p. 795.) “This additional burden . . . is an
19 inherent feature of any top two primary system, and the Supreme Court has expressly approved of
20 top two primary systems.” (*Id.*, citing *California Democratic Party v. Jones* (2000) 530 U.S. 567,
21 585-586.)

22 Construing a Washington top two system that is similar to the California system, the Ninth
23 Circuit found that the ballot access rights of minor political parties were not severely burdened.
24 (*Washington State Republican Party v. Washington State Grange, supra*, 676 F.3d at p. 794.)
25 Given the features of a top two system, including access to the primary ballot by minor party
26 candidates, the minor parties had not shown that the system “impermissibly ‘limit[s] the field of
27 candidates from which voters might choose.’” (*Ibid.*, quoting *Anderson v. Celebrezze* (1983)
28 460 U.S. 780, 786.) And “because [the top two primary] gives major-and minor-party candidates
equal access to the primary and general election ballots, it does not give the ‘established parties a

1 decided advantage over any new parties struggling for existence.” (*Ibid.* at p. 795, quoting
2 *Williams v. Rhodes* (1968) 393 U.S. 23, 31.) The Ninth Circuit therefore affirmed dismissal of a
3 ballot access claim nearly identical to the one presented by plaintiffs in this action. (*Id.* at pp.
4 794-795.)

5 Other federal and state decisions have acknowledged the validity of a top two system in the
6 face of other constitutional challenges. In *California Democratic Party v. Jones*, relied upon by
7 the Ninth Circuit in *Washington State Republican Party*, the United States Supreme Court
8 recognized that a top two primary, which it referred to as a nonpartisan blanket primary, avoids
9 the constitutional dilemma posed by allowing all voters to cast ballots nominating a particular
10 party’s candidates. (*California Democratic Party v. Jones, supra*, 530 U.S. at 585-586.) The
11 nonpartisan blanket primary, allowing each voter to vote for any candidate and the top two vote
12 getters to advance to the general election, differs from the partisan blanket primary in a way that
13 is “the constitutionally crucial one: primary voters are not choosing a party’s nominee.” Thus,
14 “[u]nder a nonpartisan blanket primary, a State may ensure more choice, greater participation,
15 increased ‘privacy,’ and a sense of ‘fairness’—all without severely burdening a political party’s
16 First Amendment right of association.” (*California Democratic Party v. Jones* (2000) 530 U.S.
17 567, 586.) Although *Jones* did not directly address ballot access for minor political parties, its
18 reasoning is consistent with the conclusion that a top two or blanket primary system is not
19 inherently unconstitutional.

20 In another case construing Washington’s top two system, the United States Supreme Court
21 noted that “we assumed that the nonpartisan primary we described in *Jones* would be
22 constitutional.” (*Washington State Grange v. Washington State Republican Party* (2008)
23 552 U.S. 442, 452.) The Court characterized as “unexceptional” the fact that political parties may
24 no longer indicate their nominees on the ballot, observing that “[t]he First Amendment does not
25 give political parties a right to have their nominees designated as such on the ballot.” (*Id.* at
26 p. 453, fn. 7.) The exact question facing the Court—whether associational rights of political
27 parties were violated if candidates were allowed to express their party preference on the ballot—
28 differs from the precise question presented here and in *Jones*, and the Court noted that it was not

1 deciding the parties' ballot access claim. (*Id.* at p. 458, fn. 11.) But the Court concluded that a
2 nonpartisan blanket primary ballot could be designed that would eliminate any threat to
3 associational rights and rejected a challenge to the Washington top two primary on that basis. (*Id.*
4 at pp. 456-457.) Thus, *Washington State Grange*, like *Jones* before it, recognizes that a top two
5 primary system is not an inherently unconstitutional method for nominating general election
6 candidates.

7 Additionally, in a case implicating the larger question of Proposition 14's validity, the First
8 District Court of Appeal upheld the constitutionality of the measure's implementing legislation
9 relating to party preference ballot designations and write-in voting. (*Field v. Bowen, supra*, 199
10 Cal.App.4th 346.) The First District found no basis in the implementing statutes to enjoin
11 enforcement of Proposition 14. (*Id.* at pp. 350, 372.) Although the appellate court did not
12 expressly pass on the constitutionality of Proposition 14 itself, the Court's recognition that these
13 provisions were constitutional is consistent with the recognition that a top two primary system
14 may be validly implemented by the voters. (*Ibid.*)

15 **B. Plaintiffs Fail to Allege Any Facts that Would Support a Finding that**
16 **Proposition 14 is Unconstitutional as Applied.**

17 In their complaint, plaintiffs allege that in the June 2012 primary nine minor party
18 candidates received at least five percent of the vote but did not advance to the general election.
19 (SAC, p. 2, ¶ 2; p. 8, ¶ 27.) Plaintiffs allege that only three minor party candidates reached the
20 general election, leaving minor party candidates off the general election ballot in 98 percent of
21 state and congressional elections in November 2012. (*Id.*, p. 8, ¶ 26.) Plaintiffs identify
22 candidates receiving between 2.1 percent and 18.6 percent of the vote in races for the Legislature,
23 House of Representatives, and United States Senate. (*Id.*, pp. 8-9, ¶¶ 28-31.)

24 Even accepting these statistics as true, they say nothing about the constitutionality of
25 Proposition 14. In a top two primary system, it is virtually inevitable, not merely probable, that in
26 some races some candidates will receive double-digit percentages of the primary vote and not
27 reach the general election. This is not a disability facing only minor party candidates; it is as
28 likely to occur to candidates preferring major parties to those preferring minor parties. Indeed, it

1 was recognized before passage of Proposition 14 that a general election might pit candidates
2 preferring the same political party, or pit candidates preferring no political party, excluding party-
3 affiliated candidates altogether. (See RJN, Exh. 1, June 8, 2010 Voter Guide, Legislative
4 Analyst's Analysis of Proposition 14.)

5 Put another way, if more than two candidates each representing different political parties
6 seek the same office in a voter-nominated primary, then one or more of the political parties will
7 have no affiliated candidate running in the general election. Plaintiffs would have the Court find
8 that this result renders a voter-nominated, nonpartisan blanket primary unconstitutional and that
9 California voters must reinstate a partisan primary for most state and congressional offices.
10 Under the authority cited above, however, a primary that narrows the general election candidates
11 to the top two vote getters, regardless of party affiliation, is constitutionally permitted.

12 The California top two primary fits squarely within the framework approved by state and
13 federal courts. The voter nomination primary is open to candidates regardless of their preference
14 for a qualified political party or their lack of party preference. (See Elec. Code, § 13105 [political
15 party preference designation].) And “the candidates for a voter-nominated office who receive the
16 highest or second highest number of votes cast at the primary election shall appear on the ballot
17 as candidates for the office at the ensuing general election.” (Elec. Code, § 8141.5.)

18 Plaintiffs may not advance their claim on the theory that the major parties are able to get
19 their candidates on the general election ballot to the exclusion of minor parties. Under
20 Proposition 14, general election candidates are not party nominees. (Elec. Code, § 8141.5 [“no
21 candidate for a voter-nominated office shall be deemed to be the official nominee for that office
22 of any political party”].) A candidate’s designation of party preference “shall not be construed as
23 an endorsement of that candidate by the party designated.” (Elec. Code, § 8002.5, subd. (d). The
24 candidate’s party preference designation “is shown for the information of the voters only.” (*Ibid.*)
25 Although political parties may endorse candidates in the primary or general election (Cal. Const.,
26 art. II, § 5, subd (b)), no party, major or minor, has a guarantee that its preferred nominee will
27 reach the general election.

28

1 Nor are other facts alleged by plaintiffs in connection with their ballot access claim
2 sufficient to undercut existing authority approving of top two election systems. Although
3 plaintiffs point to a disparity in the number of voters in primary elections and general elections
4 (SAC, p. 10, ¶¶ 33-34), this is no different than the voting patterns in partisan primary elections in
5 which a smaller number of party voters select the nominees who will be presented to the larger
6 general electorate. Plaintiffs also object that the June primary is five months before the general
7 election. (*Id.*, p. 9, ¶ 32.) But the timing of the primary election is not directly at issue. Plaintiffs
8 are challenging the constitutionality of the voter-nominated primary system, not the date of a
9 particular primary election.

10 **C. The State's Legitimate Regulatory Interests Support Upholding the**
11 **Constitutionality of Proposition 14.**

12 Proposition 14 has been justified on at least two grounds: increasing voter participation in
13 the selection of candidates, particularly through increased participation by independent voters
14 who previously had limited rights to vote in the primary, and reducing government gridlock by
15 promoting less partisan candidates. For example, in the Official Voter Information Guide, the
16 measure's title and summary, approved by the Legislature, stated that Proposition 14 would
17 "encourage[] increased participation in elections for congressional, legislative, and statewide
18 offices by changing the procedure by which candidates are selected in primary elections." (RJN,
19 Exh. 1, June 8, 2010 Voter Guide, p. 14.) The title and summary further stated that the measure
20 would "give[] voters increased options in the primary by allowing all voters to choose any
21 candidate regardless of the candidate's or voter's political party preference." (*Ibid.*)

22 In their arguments in favor of the measure, the proponents echoed these points, asserting
23 that Proposition 14 "will open up primary elections" and allow Californians "to vote for any
24 candidate [they] wish for state and congressional offices, regardless of political party preference."
25 (Request for Judicial Notice, Exh. 1, June 8, 2010 Voter Guide, Argument in Favor of Prop. 14,
26 p. 18.) The proponents argued that this would "reduce gridlock by electing the best candidates."
27 (*Ibid.*) Proposition 14 was also seen as "giv[ing] independent voters an equal voice in primary
28 elections." (*Ibid.*) As a further benefit, the proponents claimed that Proposition 14 would "help

1 elect more practical office-holders who are more open to compromise.” (*Ibid.*) The proponents
2 expressed the concern that “partisanship is running our state into the ground” and argued that
3 Proposition 14 would “push our elected officials to begin working together for the common
4 good.” (*Ibid.*, emphasis omitted.)

5 These interests are sufficient for Proposition 14 to pass muster as a “reasonable,
6 nondiscriminatory” election regulation justified by the State’s important regulatory interests. And
7 even if Proposition 14 were viewed as a “severe restriction,” the measure has been narrowly
8 drawn to achieve the interests promoted by a top two primary. (See *California Democratic Party*
9 *v. Jones*, *supra*, 530 U.S. at 585-586 [all goals promoted by partisan primary with open voting
10 can be protected by a nonpartisan blanket primary].)

11 Because the facts alleged in the ballot access cause of action either merely recite the
12 inherent consequences of a top two system, or do not concern the constitutionality of Proposition
13 14, plaintiffs have not alleged an as applied claim for violation of either the California or United
14 States Constitutions. Therefore, the demurrer to the first cause of action should be sustained.

15 **II. PLAINTIFFS’ SECOND CAUSE OF ACTION DOES NOT ALLEGE EITHER A FACIAL OR**
16 **AN AS APPLIED CLAIM FOR VIOLATION OF THE EQUAL PROTECTION CLAUSE.**

17 In its order sustaining the Secretary of State’s demurrer to the First Amended Complaint,
18 the Court permitted plaintiff to file an amended pleading alleging either a facial or an as-applied
19 claim for violation of equal protection. (Orders on Demurrers, January 25, 2013, pp. 13-16.)
20 Plaintiffs’ second cause of action fails to sufficiently allege either ground as a basis to challenge
21 Proposition 14.

22 Under the Fourteenth Amendment of the United States Constitution, “[n]o State shall . . .
23 deny to any person within its jurisdiction the equal protection of the laws.” (U.S. Const., amend.,
24 XVI, § 1.) Its counterpart in the California Constitution provides that “[a] person may not be . . .
25 denied equal protection of the laws.” (Cal. Const., art. I, § 7(a).)

26 As a neutral measure, Proposition 14 does not violate either the State or Federal Equal
27 Protection Clauses on its face for the same reasons discussed above with respect to the ballot
28 access claim. Since the courts have already upheld top two primary systems as constitutional, and

1 since major parties and minor parties are treated identically under the terms of Proposition 14, no
2 equal protection violation appears on the face of the measure. For the same reasons, plaintiffs
3 have not alleged an as applied claim. Plaintiffs rely on the same factual allegations they make in
4 support of their ballot access cause of action, and these allegations fail for the same reasons.

5 Plaintiffs allege that “implementation of Prop. 14 withdrew an established right from . . .
6 minor parties, their voters, and their candidates to participate in statewide general elections.”
7 (SAC, p. 12, ¶ 43.) However, the right of minor parties to participate in general elections through
8 nomination of preferred candidates bearing the party label was a function of the partisan primary
9 system. When California switched to the top two system, not just minor parties, but major parties
10 as well, lost the guarantee that their chosen candidates would reach the general election. This
11 allegation supports neither a facial nor an as-applied equal protection violation when minor
12 parties are compared to major parties, other voters or other candidates.

13 Plaintiffs no doubt seek to fit within the doctrine recognized by the Ninth Circuit in *Perry v.*
14 *Brown*, a decision overturning Proposition 8, which had eliminated the right to same-sex marriage.
15 (*Perry v. Brown* (9th Cir. 2012) 671 F.3d 1052, cert granted, 133 S.Ct. 786 (2012).) In *Perry*,
16 which is now before the United States Supreme Court, the Ninth Circuit held that “the Equal
17 Protection Clause requires the state to have a legitimate reason for withdrawing a right or benefit
18 from one group but not others, whether or not it was required to confer that right or benefit in the
19 first place.” (*Perry v. Brown, supra*, 671 F.3d at pp. 1083-1084, original emphasis.) In that
20 context, the Equal Protection Clause forbids “the targeted exclusion of a group of citizens from a
21 right or benefit that they had enjoyed on equal terms with all other citizens.” (*Id.* at p. 1084,
22 emphasis added.) Proposition 8 was not “a law of general applicability” but a measure that
23 “carve[d] out” rights from gays and lesbians alone.” (*Id.* at p. 1085, original brackets.)

24 Even if *Perry* remains citable while on appeal, it would have no application here because
25 Proposition 14 does not target minor parties for disparate treatment. This is not a case where
26 major parties are allowed to nominate and place their preferred candidates on the general election
27 ballot while minor parties are left off the ballot. As a matter of law, and in actual practice, all
28 candidates, regardless of party preference, compete equally in the primary for the right to

1 compete in the general. Thus, the *Perry* doctrine would not support a finding that Proposition 14
2 violates equal protection by selectively withdrawing rights from the minor parties.

3 Alternatively, plaintiffs reassert their contention that the drafters of Proposition 14
4 “intended . . . [to] exclude[] the standard bearers from minor political parties from participating in
5 general elections.” (SAC, p. 12, ¶ 43.) Plaintiffs allege that the drafters “were motivated by an
6 invidious purpose when they enacted electoral reform.” (*Ibid.*) But this allegation, which focuses
7 on the alleged motivations of the legislative drafters, is insufficient to allege a claim for violation
8 of the Equal Protection Clause by a voter-approved measure.

9 “[N]either explicit discrimination nor discrimination by ‘disparate impact’ is
10 unconstitutional unless motivated at least in part by purpose or intent to harm a protected group.”
11 (*Kim v. Workers’ Comp. Appeals Bd.* (1999) 73 Cal.App.4th 1357, 1361.) For example, in the
12 context of racial discrimination, “[a] court addressing this issue must keep in mind the
13 fundamental principle that ‘official action will not be held unconstitutional solely because it
14 results in a racially disproportionate impact. . . . Proof of racially discriminatory intent or purpose
15 is required to show a violation of the Equal Protection Clause.’” (*People v. Superior Court*
16 (*Williams*) (1992) 8 Cal.App.4th 688, 711, quoting *Hernandez v. New York* (1991) 500 U.S. 352,
17 358.)

18 In construing an initiative measure, what matters is the intent of the voters: “The opinion of
19 drafters or of legislators who sponsor an initiative is not relevant since such opinion does not
20 represent the intent of the electorate and we cannot say with assurance that the voters were aware
21 of the drafters’ intent.” (*Taxpayers to Limit Campaign Spending v. Fair Political Practices*
22 *Comm’n* (1990) 51 Cal.4th 744, 764 fn. 10.) In construing a voter-approved initiative, “the ballot
23 summary and arguments and analysis presented to the electorate in connection with a particular
24 measure may be helpful in determining the probable meaning of uncertain language.” (*Amador*
25 *Valley Joint Union High Sch. Dist. v. State Bd. of Equalization* (1990) 22 Cal.3d 208, 245-246.)
26 Legislative opinions, on the other hand, “do not provide aid in determining the intent of the
27 electorate” where “none of the opinions was distributed to the voters by way of the voter’s
28

1 pamphlet, and [where a court] can only speculate on the extent to which the voters were cognizant
2 of them.” (*People v. Castro* (1985) 38 Cal.3d 301, 312.)

3 Here, plaintiffs allege no facts that would support an inference that California voters were
4 motivated by animus against minor political parties when they approved Proposition 14. For
5 reasons briefed earlier, Proposition 14 is easily explainable for reasons other than invidious
6 discriminatory purpose. Moreover, the voter pamphlet and the measure itself do not disclose any
7 intent to harm the unique interests of minor parties. (See RJN, Exh. 1.) Instead, the voters’ ire
8 appears to have been directed at the major parties, not the minor parties. (*Ibid.*) As a practical
9 matter, these are the only materials available to discern the voters’ intent. Plaintiffs do not allege
10 that there are any other indicia of voter intent that would support a claim of invidious
11 discrimination.

12 Plaintiffs suggest that the goal of electing more pragmatic or practical politicians must
13 necessarily imply the additional goal of excluding minor political parties. (SAC, p. 12, ¶ 43.)
14 But there is no reason to conclude that a third party would necessarily represent a more extreme
15 viewpoint than those of the more established parties. A third party could compete for voters by
16 presenting a more centrist position in contrast to the positions of other political parties. No
17 inference of invidious discriminatory intent can be drawn from this allegation.

18 In the absence of supporting allegations, plaintiffs have failed to set forth any basis to find
19 an equal protection violation under either the United States or California Constitutions.
20 Therefore, the demurrer to this cause of action should be sustained.

21 **III. THE DEMURRERS SHOULD BE SUSTAINED WITHOUT LEAVE TO AMEND.**

22 A demurrer may be sustained without leave to amend ““where the facts are not in dispute,
23 and the nature of the plaintiff’s claim is clear, but, under the substantive law, no liability exists””
24 and “[o]bviously no amendment would change the result.”” (*Frost v. Geernaert* (1988)
25 200 Cal.App.3d 1104, 1107, quoting 5 Witkin, Cal. Procedure (3d ed. 1985) Pleading, § 945,
26 p. 379.)

27 Here, plaintiffs have shown that they cannot allege an as-applied ballot access claim or
28 either a facial or as-applied equal protection claim. No purpose would be served by allowing

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

plaintiffs to further amend the complaint. Defendant therefore requests that the demurrers be sustained without leave to amend.

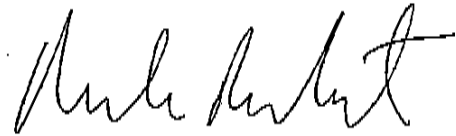
CONCLUSION

For the foregoing reasons, the Court is requested to sustain the demurrers to the second amended complaint without leave to amend.

Dated: March 11, 2013

Respectfully Submitted,

KAMALA D. HARRIS
Attorney General of California
CONSTANCE L. LELouis
Supervising Deputy Attorney General



MARK R. BECKINGTON
Deputy Attorney General
*Attorneys for Debra Bowen, as California
Secretary of State*

SA2011103315

DECLARATION OF SERVICE BY E-MAIL and U.S. MAIL

Case Name: **Rubin, Michael, et al. v. Debra Bowen**
Case No.: **RG11605301**

I declare:

I am employed in the Office of the Attorney General, which is the office of a member of the California State Bar, at which member's direction this service is made. I am 18 years of age or older and not a party to this matter; my business address is: 300 South Spring Street, Suite 1702, Los Angeles, CA 90013. I am familiar with the business practice at the Office of the Attorney General for collection and processing of correspondence for mailing with the United States Postal Service. In accordance with that practice, correspondence placed in the internal mail collection system at the Office of the Attorney General is deposited with the United States Postal Service with postage thereon fully prepaid that same day in the ordinary course of business.

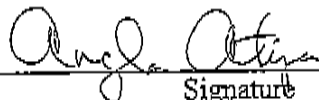
On March 11, 2013, I served the attached **NOTICE OF HEARING ON DEMURRER AND DEMURRER TO SECOND AMENDED COMPLAINT; MEMORANDUM OF POINTS AND AUTHORITIES** by transmitting a true copy via electronic mail. In addition, I placed a true copy thereof enclosed in a sealed envelope in the internal mail collection system at the Office of the Attorney General at 300 South Spring Street, Suite 1702, Los Angeles, CA 90013, addressed as follows:

Michael Siegel
Siegel & Yee
499 14th Street, Suite 300
Oakland, CA 94612
E-mail Address: michaeljwsiegel@gmail.com
Attorneys for Plaintiffs

Marguerite Mary Leoni
Christopher E. Skinnell
Nielsen, Merksamer, Parrinello, Gross & Leoni LLP
2350 Kerner Boulevard, Suite 250
San Rafael, CA 94901
E-mail Address: mleoni@nmgovlaw.com; cskinnell@nmgovlaw.com
Attorneys for Intervener-Defendants
Independent Voter Project

I declare under penalty of perjury under the laws of the State of California the foregoing is true and correct and that this declaration was executed on March 11, 2013, at Los Angeles, California.

Angela Artiga
Declarant


Signature